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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Cor	Complete if Known				
Application Number	10/733,808				
Filing Date	December 10, 2003	,			
First Named Inventor	Chris Hopen				
Art Unit	2154	•			
Examiner Name	Joshua Joo				
Attorney Docket Number	PA4407US				

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
22		<sup>US-</sup> 6,246,755	06-12-2001	Walker et al.	•
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FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	7
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Examiner Signature	Joseph	Jen .	Date Considered	10/10/07
-	John.		00.10/00/00	1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

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Substitute for form 1449/PTO	Complete if Known			
,	Application Number	10/733,808		
INFORMATION DISCLOSURE	Filing Date	December 10, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Chris Hopen		
(Use as many sheets as necessary)	Art Unit	2154.		
(000 00 11011) 5110000 00 110000001)	Examiner Name	Joshua Joo		
Sheet 2 of 3	Attorney Docket Number	PA4407US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
22		"SA-1000: An entry-level SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Roaming Internet Access: Easy. Cost-effective. Global," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"Managed Directory: Reduce Administration. Enable single sign-on," Aventail.Net Managed Service, Aventail Data Sheet, 2003 Aventail Corporation.	
33		"User Management: Simplified administration. Fast user activation," Aventail.Net Manged Service, Aventail Data Sheet, 2003 Aventail Corporation.	
<b>33</b>		"Aventail EX-1500," SC Magazine Best Buy, Groupt Test VPNs, SC Magazine September 2003.	
<b>3</b> )	_	Aventail, Corporate Overview, 2002 Aventail Corporation.	·
.22	,	MICHAEL VIZARD, "Aventail CEO Evan Kaplan explains why infrastructure service providers are emerging as strategic IT partners," Interviews, InfoWorld, June 8, 2001, 2001 InfoWorld Media Group, Inc.	
ত্য		HEATHER B. HAYES, "Security for hire," Professional Edge, InfoWorld, December 10, 2001, Issue 50, 2001 InfoWorld Media Group, Inc.	
33		Awards and Recognition, Aventail, 2003 Aventail Corporation.	
23		"Aventail EX-1500," Product Data Sheet, 2003 Aventail Corporation.	

Examiner Signature Joshu Jen	Date Considered	10/10/07
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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitu	Substitute for form 1449/PTO		Complete if Known			
				Application Number	10/733,808	
INF	ORMATION	DIS	CLOSURE	Filing Date	December 10, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Chris Hopen	
	(Use as many she	ate se r	ocossani)	Art Unit	2154	
(Coa as many should as necessary)		Examiner Name	Joshua Joo			
Sheet	3	of	3	Attorney Docket Number	PA4407US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<b>3</b> 3		Field Notes, "Outsourcing Can Help," ComputerWorld, July 15, 2002, Vol. 36, No. 29, 2002 Computerworld, Inc.	
<b>33</b> .		ANN BEDNARZ et al., "The 50 Most Powerful People in Networking," Network World Reprint, October 21, 2002, Vol. 19, No. 42, 2002 Network World, Inc.	
33		CHRIS HOPEN, "Face-off: Are SSL-based VPNs a better bet than IPSec VPNs?", Network World Reprint, August 4, 2003, Vol. 20, No. 31, 2003 Network World, Inc.	
33		MARK BRADSHAW, "Virtually Complete," European Communications, Reprinted from Summer 2003, www.eurocomms.co.uk.	
33		"Aventail Anywhere VPN Module," Aventail Technical Overview, 2002 Aventail Corporation.	
30		"SA-900: An enterprise-class SSL VPN solution for secure access," Aventail Data Sheet, 2003 Aventail Coporation.	
3)		"Aventail ExtraWeb Module," Aventail Technical Overview, 2002 Aventail Corporation.	
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Examiner	Joshu Jen	, Date	10/10/07
Signature	000 000	Considered	15 7. 7

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/733,808			
Filing Date	December 10, 2003			
First Named Inventor	Chris Hopen			
Art Unit	2154			
Examiner Name	Joshua Joo			
Attorney Docket Number	PA4407US			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
22		US- 6,473,802	10-29-2002	Masters	
33		<sup>US-</sup> 6,374,300	04-16-2002	Masters	·
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Examiner Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6	
	Country Code <sup>3 *</sup> Number <sup>4 *</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear		
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Examiner Signature	Joshn Jon	Date Considered	10/10/07

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/733,808	
INFO	RMATION	DIS	CLOSURE	Filing Date	December 10, 2003	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Chris A. Hopen	
				Art Unit	TBD	
	(Use as many sheets as necessary)			Examiner Name	TBD	
Sheet	1	of	2	Attorney Docket Number	005313.00016	

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
22	1	US- 6,138,153	October 24, 2000	Collins III, et al.	
	2	US- 6,052,780	April 18, 2000	Glover	
	3	US- 6,701,437	March 2, 2004	Hoke	
	4	US- 6,850,943	February 1, 2005	Teixeira, et al.	
	5	US- 6,873,988	March 29, 2005	Herrmann, et al.	
	6	US- 6,920,502	July 19, 2005	Araujo, et al.	
	7	US- 2003/0196091	October 16, 2003	Raley, et al.	
	8	US- 2003/0191944	October 9, 2003	Rothrock	
4	9	US- 2003/0229613	December 11, 2003	Zargham	
		US-			

•	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>8</sup>		
33	10	EP 1308822	May 7, 2003	Asgent Inc.	0			
33	11	EP 0804012	October 29, 1997	Nokia Mobile Phones, Ltd.				
<b>3</b> 3	12	WO 99/57866	Novembe r 11, 1999	Auric Web Systems				
33	13	WO 02/099571	Decembe r 12, 2002	Fujitsu Network Communication s				
•				Systems  Fujitsu Network  Communication				

Examiner Signature	Josha Jean	Date Considered	10/10/07	

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Substitute for form 1449B/PTO .				Complete if Known		
INIEO	DMATION	DIC	CLOCUBE	Application Number	10/733,808	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 10, 2003	
SIA	IEMENIB	YA	PLICANI	First Named Inventor	Chris A. Hopen	
				Art Unit		
	(Use as many sheets as necessary)			Examiner Name		
Sheet	2	of	2	Attorney Docket Number	005313.00016	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
IJ	14	Communication Relating to the International Search Report and The Written Opinion of the International Searching AUthoirty in Corresponding PCT Appln. No. PCT/US2004/041487	
33	15	SHERYL CANTER, "Kill Internet Ads with HOSTS and PAC files," 'Online!' March 20, 2004, Retrieved from website: http://web/archive.org/web20040426140542/http://windowsdevcenter.com/pub/a/windows/2004/0	
22	16	ULRICH KRITZNER: "Objektreferenz-Das navigator-Objekt" JAVASCRIPT-TUTORIAL, 'Online!' 31 March 2002 (2002-03-31), XP 002331683 Retrieved from website: http://web/archive.org/web/20020331004028/http://js-tut.aardon.de/js-tut/anhangA/navigator.html	
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Examiner Signature	John Joh	Date Considered	10/10/07	

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Sheet

Complete If Known					
Application Number	10/733,808				
Filing Date	12/10/2003				
First Named Inventor	Christopher A. Hopen, et al.				
Art Unit	2121				
Examiner Name	TBD				
Attorney Docket Number	005135.00016				

			U.S. PATENT D	OCUMENTS	
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear	
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55		WO 02/37799	05/10/2002	The Board of Regents Of The University of Nebraska				

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.22		Communication Relating to the International Search Report and The Written Opinion of the International Searching Authority in corresponding PCT application, application number PCT/US2004/041183 dated March 15, 2005				

Examiner Signature	Joshua Jeon	Date Considered	10/10/07	brace
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